

Numerical modelling and its physical modelling support in Civil Engineering

Modelação numérica e seu suporte de modelação física em Engenharia Civil

Modelado numérico y su soporte de modelado físico en Ingeniería Civil

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Abstract

Currently, there is a progressive divestment of some institutions with strong traditions and skills in physical modelling and their consequent impoverishment, to the detriment of numerical modelling. For many reasons, the economic imperatives and the exponential growth of computational means and numerical methods should certainly not be excluded. In this work, the author aimed to highlight the new requirements of the recent sophisticated developments in physical modelling, precisely due to the new needs imposed on them by mathematical and numerical modelling and the growing risks in civil construction works. In this context, reflections are reported, justified by scientific and real-world examples, on the need for maintenance and reinforcement of investments in physical modelling, both to support the scientific community and to design buildings of significant economic, social and environmental impact.

Keywords: Construction works; Growing risks; Safety requirements; Hybrid modelling.

Resumo

Assiste-se na atualidade a um desinvestimento progressivo de algumas instituições com fortes tradições e competências em modelação física e ao seu consequente empobrecimento em detrimento da modelação numérica. Entre muitas razões possíveis, a isso não devem ser certamente alheios os imperativos económicos e o crescimento exponencial dos meios computacionais e dos métodos numéricos. Neste trabalho, o autor teve como objetivo destacar as novas exigências de desenvolvimentos em modelação física, justamente decorrentes das novas necessidades que são impostas pelos recentes desenvolvimentos matemáticos e a grande capacidade de modelação numérica, a que se devem juntar os crescentes riscos em

obras de construção civil. Neste contexto, reportam-se reflexões, justificadas por exemplos científicos e do mundo real, sobre a necessidade de manutenção e reforço dos investimentos em modelação física, tanto para apoio à comunidade científica como para conceber projetos de significativo impacto económico, social e ambiental.

Palavras-chave: Obras de construção civil; Riscos crescentes; Requisitos de segurança; Modelação híbrida.

Resumen

Actualmente, hay una desinversión progresiva de algunas instituciones con fuertes tradiciones y habilidades en el modelado físico y su consecuente empobrecimiento, en detrimento de la modelación numérica. Entre muchas razones, los imperativos económicos y el crecimiento exponencial de los medios computacionales y los métodos numéricos ciertamente no deben excluirse. En este trabajo, el autor tuvo como objetivo destacar los nuevos requerimientos de los recientes y sofisticados desarrollos en modelación física, precisamente debido a las nuevas necesidades que les imponen la modelación matemática y numérica y los crecientes riesgos en las obras de construcción civil. En este contexto, se reportan reflexiones, justificadas por ejemplos científicos y del mundo real, sobre la necesidad de mantenimiento y refuerzo de inversiones en modelación física, tanto para apoyar a la comunidad científica como para diseñar proyectos de impacto económico, social y ambiental significativo.

Palabras clave: Obras de construcción civil; Riesgos crecientes; Requerimientos de seguridad; Modelado híbrido.

1. Introduction

Model studies can be useful for academic and scientific purposes and to explain a project to stakeholders and the public in real-world applications. They are important for the design and verification of construction works with significant dimensions, costs and impacts, whether numerical models or physical models.

Research on turbulence, waves, soil– and fluid–structure interaction, among others, have been carried out for a long time by different approaches, such as analytical solutions (Hinze, 1975; Rodi, 1980), laboratory measurements or comparison of both the experimental findings and field data (Harry et al., 2014; Gregoretti et al., 2010) and, more recently, numerical modelling and hybrid experimental and numerical modelling (Scharnke et al., 2020; Demir et al., 2019; Nguyen et al., 2018).

Until the 1970s, the first two approaches (analytical solutions and physical modelling) were the only ones widely used. At that time, physical modelling techniques were widely used in different fields.

Since then, as reported by Antunes do Carmo (2016), not only has our theoretical knowledge of the phenomena improved greatly, the way we use numerical methods has also become more efficient. The great advances made in computer technology, especially since the 1980s, e.g., improving information processing and enabling large amounts of data to be stored, have made possible the use of mathematical models of greater complexity and with fewer restrictions. This led to the widespread use of numerical modelling to the detriment of the other two approaches.

Currently, in some fields, numerical modelling appears to be more advantageous. An example is the ability to predict the evolution and behaviour of oil spilled at sea, regardless of the atmospheric conditions. To help estimate the ecological risks of oil spill contamination and potential hypoxia, rapid, efficient, accurate and timely detection is required to determine where, when and how to carry out response plans. This is intrinsic to the numerical models. In preventive terms, numerical models could even be the only viable solution to plan any implementation to combat pollution at sea (Antunes do Carmo et al., 2010; Pinho et al., 2004a).

Climatology is a field in which numerical modelling is the only means of simulation, particularly weather and climate forecasts for time scales ranging from a few weeks to a few decades into the future (sub-seasonal to decadal climate prediction). These models work by integrating data (surface and upper-air observations of temperature, pressure, moisture, winds and air density) into equations that are solved numerically. As more and more types of data are assimilated into the models, the quality of the model forecasts often improves (Ahrens and Henson, 2016).

Numerical models are all the more useful the better they represent the real problem, but this is not always possible, or it is possible but with more or fewer simplifications. Despite the huge developments in numerical models, they still have limitations or gaps in the reproduction of many physical phenomena, especially when applied to complex flows, such as turbulent flows (Argyropoulos and Markatos, 2015; Wilson et al., 2019), fluid–structure interaction (Wang and Yan, 2010; Chen and Christensen, 2017), breaking waves, etc.

A numerical model will be attractive and can solve a fluid–structure interaction (FSI) problem if it verifies the following properties: (1) the mathematical model has FSI capability; (2) the scheme is sufficiently robust and works without fail for a reasonable period; (3) the

solution is accurate and proven analytically and/or experimentally; (4) the model is efficient within a reasonable computation time.

Thanks to the technological revolution that occurred in the last few decades, new physical perspectives have arisen due to the development of new sophisticated equipment and the great improvement of experimental techniques, thus allowing us to overcome the limitations of numerical modelling (Over VLIZ, 2020).

In fact, given the new experimental techniques, physical modelling allows for the study of new phenomena and the measurement of variables in complex flows inaccessible by theory. Physical modelling also allows us to confirm theoretical results, analyse different details of a project and test a wide variety of environmental and extreme conditions (Coastal Wiki, 2020).

Another field in which physical modelling plays an essential role, by allowing us to calibrate and validate parametric formulations, is the scour process around bridge piles. The settlement of marine structures due to the littoral dynamics is another process induced by scouring around their foundations (Carreiras et al., 2013). Due to the action of currents and waves, these processes have become the main factors that affect the safety of the construction and operation of bridge foundations and other structures in rivers, coastal and offshore environments (Xiang et al., 2020).

Today's automated data acquisition and analysis systems, fast processing and increased data storage capabilities provide significant uses for the validation of numerical models (World Register of Introduced Marine Species, 2020). In this context, physical modelling has been fundamental for the study of processes related to turbulent phenomena, allowing for the validation of current turbulence models, e.g., the two-equation models $k-\varepsilon$, RNG $k-\varepsilon$ and $k-\omega$ (Gao et al., 2017).

Although physical models have several advantages, they also have several difficult-to-solve problems, such as scale effects, because it is impossible to correctly scale a free-surface hydrodynamic model using water that satisfies all of the laws of similitude, laboratory effects, due to reflection and flow restriction, duration of tests, incomplete modelling and installation and maintenance costs (Heller, 2011).

As reported in Briggs (2013), physical models can be used in conjunction with numerical models as a *hybrid model* to take advantage of each model's benefits. In a hybrid model, one can have numerical–physical or physical–numerical model connectivity. For instance, the numerical model is used to provide input to the physical model which then provides its output as input to the same or another numerical model.

The complementarity of physical and numerical modelling is a very rich field to be explored in terms of teaching engineering, both in the laboratory and in the classroom. The information obtained from numerical models and experimental results is crucial for the development of an understanding of the behaviour of actual structures and methods to efficiently design them; therefore, the promotion of practical lessons based on physical and numerical models is critically important.

The use of physical models can help to improve our understanding of how structures work. It is important to remember that both physical models and numerical models are good options as long as they are an accurate representation of the actual structure (Saidani and Shibani, 2014). To illustrate various physical aspects of seepage in dams, Marques (2020) shows the advantages of numerical modelling in the geotechnical training of civil engineering students.

In this paper, the advantages and disadvantages of each of the physical and numerical simulations are shown through academic/scientific examples and real-world applications. The complementarity virtues of both methodologies are then demonstrated via the teaching, learning, improvement or development of theoretical models and the calibration/validation of empirical-based methods.

Section 2 details and discusses the laboratory experiments used to validate semi-empirical models and to assess the behaviour of large-scale structures, where physical modelling is useful to complement or improve the results of numerical modelling. Inefficient or inappropriate uses of numerical models and physical modelling to improve mathematical models, so that they can describe the most important phenomena with enough approximation, are shown in Section 3. A final discussion is provided in Section 4.

2. Materials and Methods

In general, a physical modelling test program needs a high number of experiments; however, physical modelling tests are time-consuming and expensive. Numerical modelling plays an important role in countering this requirement as they can help to interpret the experimental results and, thus, reduce the number of tests to be performed. In addition to physical modelling performed in the laboratory, a numerical model is a valuable tool for the analysis and explanation of phenomena in different fields.

In the field of soil mechanics, the use of physical modelling is common, especially to assess the soil–structure interaction phenomena and to validate semi-empirical models. Figure 1

provides a general view of an experiment of a study carried out in a laboratory by Carreiras et al. (2003) to investigate the scour-settlement process of vertical cylindrical piles of differing heights and densities.

Figure 1. General view of an experiment from a study carried out at the Hydraulics Laboratory of the University of Coimbra.



Source: Carreiras et al. (2003).

The horseshoe vortex and the vortex shedding generated at the bottom, around the cylinder, induced an erosion around it, promoting sediment transport and formation and propagation of ripples clearly shown in Figure 1.

Numerical modelling is not capable of reproducing the phenomena that occur in these circumstances in the way that physical modelling can. As reported in Carreiras et al. (2003), experiments on the local scour produced by regular and nonlinear waves around a single pile have shown a good fitting between the equilibrium scour depth S and the Keulegan–Carpenter number.

We found that it was possible to test and validate model (1), which seems to provide a good representation of the scour S .

$$\frac{S}{D} = 1.3 [1 - e^{-0.06(KC-6)}] \quad (1)$$

where D is the diameter of the cylindrical pile, and KC is the Keulegan–Carpenter number, as defined in Carreiras et al. (2003).

In the area of structural mechanics, it is mainly the construction of bridges and concrete and earth-fill dams that require complementary physical and numerical simulation approaches to assess specific aspects of design, construction, and structural behaviour of different types of actions/forcing. We refer the reader to the works of Chen and Chen (2014), Al-Janabi et al. (2020) and Ninot et al. (2015), among many others for more information on this topic.

Relevant real-world examples of complementarity between physical and numerical approaches in the design phase are the Akashi Kaikyo Bridge (Japan) (Figure 2) (Google_bridge, 2020) and the Three Gorges Dam (currently the world's largest dam, capacity 39.3 km³, China) shown in Figure 3 (Augustyn et al., 2020).

Figure 2. Longest suspension bridge in the World—Akashi Kaikyo Bridge, Japan.



Source: Google_bridge (2020).

It is worthwhile to appreciate the lightness of this bridge, completed in 1998 and with the longest central span of any suspension bridge in the world, with 1,991 meters, and two side spans of 960 meters.

Figure 3. Currently the world's largest dam—The Three Gorges Dam, China.



Source: Augustyn et al. (2020).

The Three Gorges Dam, shown in Figure 3, has an impressive length of 2,335 meters and a maximum height of 185 meters. It currently has the capacity to generate 22,500 megawatts of electricity, which also makes it the most productive hydroelectric plant in the world.

The physical model of the Akashi Kaikyo Bridge in a Wind Tunnel is shown in Figure 4 (Google_model, 2020), where it was subjected to experimental testing—to varying wind speeds and loading.

Figure 4. Akashi Kaikyo Bridge model in a wind tunnel.



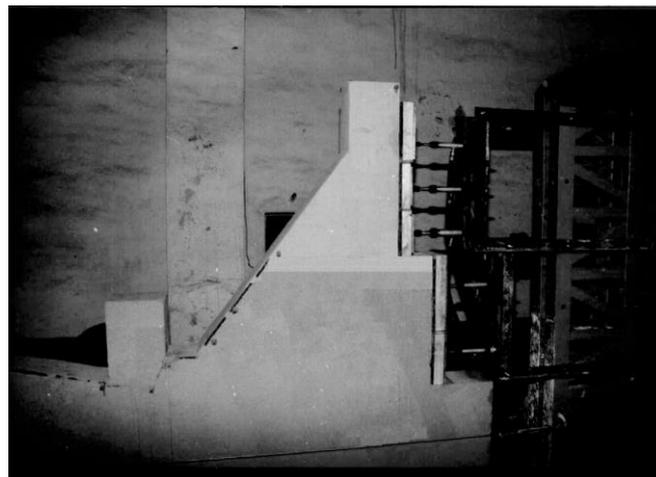
Source: Google model (2020).

This figure shows the physical model in a wind tunnel built at a geometric scale of 1/100, with a total length of about 40 m, for the final verification of the wind resistance safety of the Akashi Kaikyo Bridge. Numerous papers were published describing the physical modelling and experimental results of the Akashi Kaikyo Bridge model tests (e.g., Miyata and Yamaguchi, 1993; Miyata, 2002).

To analyse the bridge's response to wind action and ensure that it functions correctly under stress, an interesting description of the types of tests generally performed in a wind tunnel at the design stage is provided in (Diana et al., 2013; Diana et al., 2015). A comparison of the maximum static horizontal deflection of the Akashi bridge with that of the Messina Bridge (Italy) is also discussed.

The physical model of the no. 3 powerhouse-dam section of the Three-Gorges Dam is shown in Figure 5.

Figure 5. Physical model of no. 3 powerhouse-dam section.



Source: Google dam (2020).

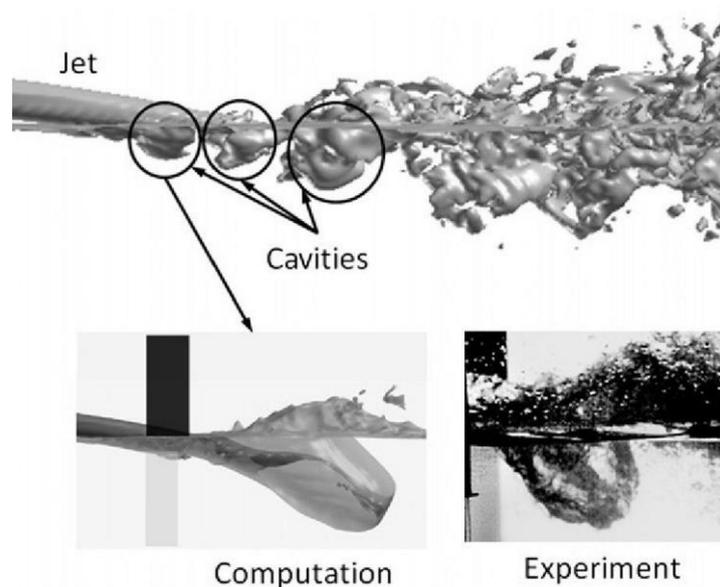
After a complete geological study, which involved about 15 possible dam sites, the 3rd powerhouse-dam section was identified as the most unstable section (shown in Figure 5). This figure aims to show some of the various instruments and devices installed for the sliding stability tests.

Both the numerical and physical modelling tests of the Three Gorges Dam have demonstrated that the stability against sliding of the critical dam section (the no. 3 powerhouse-dam section) can meet the safety requirements (Liu et al., 2003a, 2003b), and the following conclusion was drawn, “The integration of multiple methods is shown to be a more

effective approach for the stability analyses of the Three-Gorges Dam foundation since no satisfactory individual method can be used alone to solve the problem”.

Within the scope of fluid mechanics, there are several references for the need to complement different analysis methodologies, highlighting the phenomena of turbulence, fluid–structure interaction (Jabbari, 2014) and multiphase flows. It is thanks to high-speed computers and advanced algorithms that these important fields, particularly multiphase flow modelling, are areas of rapid growth. An example is a shallow angle plunging jet studied computationally and experimentally by Deshande et al. (2012), which reveals a typical occurrence of partially submerged air cavities formed at the plunging location of the jet onto a quiescent pool. Figure 6 shows the cavities formed intermittently in the impact zone.

Figure 6. Partially submerged air cavities formed in the impact zone (adapted from Deshande et al., 2012).



Source: Deshande et al. (2012).

The formed cavities become separated from the jet and propelled downstream by the initial jet momentum. The process of formation, detachment and propulsion of cavities occurs periodically in the nearfield and as a result, distinct cavities are seen to occur at different streamwise locations, as is evident from Figure 6.

It is shown that, in addition to the high pressures expected from the theory of impact, the pressure on the body surface can later decrease to sub-atmospheric levels. The creation of a cavity due to such low pressures is considered. The cavity starts at the lowest point of the

body and spreads along the body surface forming a thin space between a new free surface and the body.

The numerical simulation of the two-phase flow was done using the computational mechanics solvers of OpenFOAM based on an alternate version of the volume of fluid (VoF) approach of Hirt and Nichols (1981) employed in the interFoam solver of OpenFOAM.

Many other engineering solutions can be improved by using complementary physical simulation analyses, among which are river restorations (Kang and Sotiropoulos, 2015; Duró et al., 2016), flood defence works (Farsirotou and Kotsopoulos, 2015; Mali and Kuiry, 2020) and coastal protection works, including ports and marinas (Tsoukala et al., 2015; Antunes do Carmo, 2020).

Several numerical models from different companies, universities and research centers are currently available for applications in different areas, for example, structural models (SAP 000, ANSYS, RISA, ABAQUS, ORION, ADINA, CEDRUS, ETABS and STRUDL), geotechnical studies (3DEC, GEO5 FEM and PLAXIS), coastal design (OpenFOAM, FLUENT, FLOW-3D, DELFT-3D, TELEMAC, SWAN, FVCOM, XBEACH, BOUSS-2D, RMA2 and MIKE 21) and hydrology/hydraulic applications (QGIS, MODFLOW, PRIMIS, SWAT, SWMM, FLO-2D, DELFT-2D, IBER, HEC-HMS and HEC-RAS), among many others, including pre- and post-processing applications.

All of these numerical models are now available on the market, most of which are free (freeware); however, these numerical models should not be blindly applied even if they use an adequate and correct procedure for solving the mathematical model. It is important to question whether the mathematical model is appropriate for the analysis of the physical phenomenon that it is intended to simulate.

Among the most common examples of incorrect, inefficient or inappropriate use of numerical models are:

- Use of software as a ‘black box’.
- Imperfect knowledge of flow interactions with the bottom and boundaries (roughness effects).
- Poor formulation of the initial and boundary conditions.
- Inadequate mathematical formulation for the reproduction of the physical phenomenon.

- Inefficient numerical formulation, or its implementation, to solve the mathematical model.

Results of numerical applications that sufficiently clarify the examples mentioned above are provided in the following section.

3. Results

Currently, numerical modelling is an essential tool in the design of the largest and most demanding civil construction works; however, on the one hand, the best principles for using this technology are not always observed; on the other hand, as the following examples show, theoretical knowledge and support for physical modelling are not always sufficient. Experience and common sense are essential factors that need to be considered.

3.1. Use of Software as a ‘Black Box’

The most common formulations of the water quality process consist of systems of differential equations resulting from the mass conservation of a group of substances that are considered the most significant for the water quality process; however, the mathematical formulations developed for water quality process modelling are not consensual, since drastic simplifications are used as there are no universal laws for the reactions of water quality indicators (Pinho et al., 2004b).

These equations depend on several coefficients/parameters that need to be specified a priori. These coefficients are site-dependent and depend also on the water’s characteristics. Thus, the establishment of mathematical formulations to characterize water quality processes (reactions) should always be questioned.

To account for the lack of sufficient local information, water quality models are often used with coefficients obtained and validated by default, possibly in very different conditions.

3.2. Imperfect Knowledge of Flow Interactions with the Bottom and Boundaries (Roughness Effects)

This is a big problem, especially in the field of fluid mechanics. The dynamics of the water flow over irregular bottoms and boundaries with different characteristics and roughness,

which normally are difficult to simulate with sufficient accuracy, translates into spurious data that can significantly affect the final results. The simulation of a real case shown below is proof enough of this.

In terms of area A and flow Q , the Saint-Venant equations are written as:

$$\frac{\partial A}{\partial t} + \frac{\partial Q}{\partial x} = 0 \quad (2)$$

$$\frac{\partial Q}{\partial t} + \frac{\partial}{\partial x} \left(\frac{Q^2}{A} \right) + gA \frac{\partial(h + \xi)}{\partial x} + \frac{g |Q| Q}{A K^2 R_h^{4/3}} = 0 \quad (3)$$

where A is area, Q is flow, g is acceleration of gravity, h is flow depth, ξ represents bathymetry, R_h is hydraulic radius and K is the Manning–Strickler coefficient.

These equations were solved by the classic MacCormack method and applied to a hypothetical dam-break located upstream of Coimbra, Portugal. The objective was to evaluate the maximum wave height and wavefront arrival time at Penacova, a village located 20,325 m downstream of the Aguieira dam.

Upstream of the dam, the reservoir was included up to a section located 21,000 m upstream. The Aguieira dam-break was therefore an internal boundary condition, located approximately in the middle of the simulated domain.

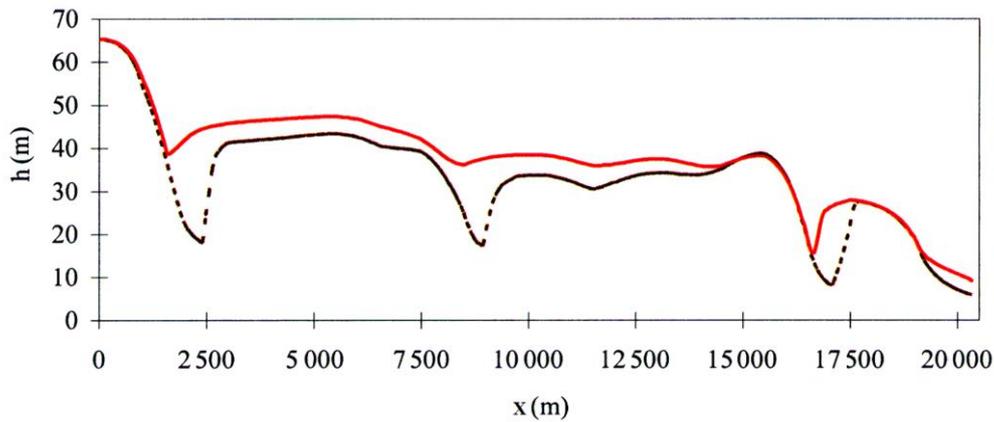
As an initial condition downstream of Aguieira, a flow of 175 m³/s was considered, close to the maximum bottom discharge capacity. It was assumed that the dam would break almost instantly when the flow started to overtop the dam, with an approximate height of 89 m.

Two scenarios were simulated, corresponding to different values of the Manning–Strickler coefficient. Because this coefficient is estimated empirically, it is advisable to estimate values for the flow variables corresponding to two “extreme” situations, among which that coefficient should be located. Thus, a first scenario was analysed for a K coefficient of the Manning–Strickler formula corresponding to the existence of minimum friction, under river regime conditions, in the order of 40–45 m^{1/3}s⁻¹; for the second scenario, a K coefficient corresponding to the existence of maximum friction was adopted, with magnitude orders of 15–20 m^{1/3}s⁻¹.

The flow heights obtained for the two scenarios are compared in Figure 7, in the stretch between the Aguieira dam and Penacova, after 52.5 min of simulation. The upper curve (red line) corresponds to the maximum friction condition ($K = 15\text{--}20$ m^{1/3}s⁻¹).

Figure 8 shows the wavefront arrival times in both scenarios.

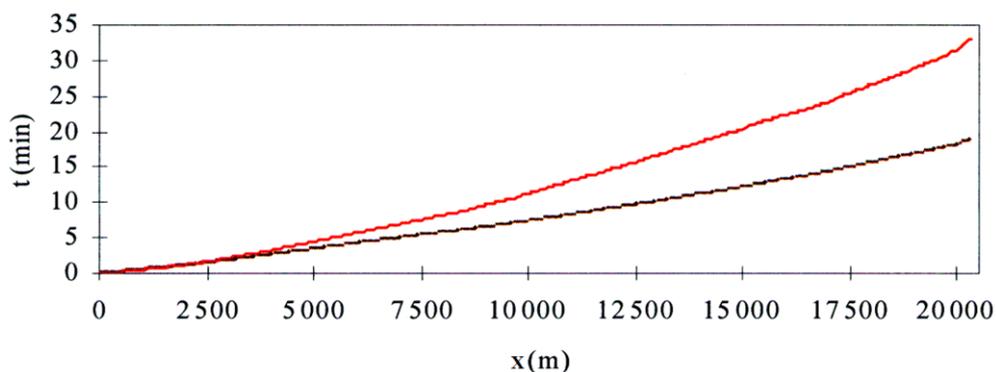
Figure 7. Flow heights obtained for two scenarios of the Manning–Strickler coefficient; numerical solution obtained for $K = 15\text{--}20 \text{ m}^{1/3}\text{s}^{-1}$ (red line) and for $K = 40\text{--}45 \text{ m}^{1/3}\text{s}^{-1}$ (black line).



Source: Author.

This figure shows very significant height differences in several sections, which translate into different types of flows (slow - higher heights - and fast - lower heights) in both scenarios along the entire stretch between the Aguieira dam and Penacova. The differences are most evident in the fast-flowing sections (narrower sections).

Figure 8. Wavefront arrival times obtained for two scenarios of the Manning–Strickler coefficient; numerical solution obtained for $K = 15\text{--}20 \text{ m}^{1/3}\text{s}^{-1}$ (red line) and for $K = 40\text{--}45 \text{ m}^{1/3}\text{s}^{-1}$ (black line).



Source: Author.

As this figure shows, the difference in arrival times of the wavefront at Penacova, in just 20 km, is very significant. There is a difference of about 13 minutes between both

scenarios.

3.3. Poor Formulation of the Initial and Boundary Conditions

The initial conditions can be resting conditions, steady conditions (current) or unsteady conditions (waves or waves and currents), including possible interactions between different parameters. Different types of boundary conditions can be implemented: Dirichlet, Newmann and Hybrid schemes. Often, due to missing local information or implementation difficulties, the most appropriate initial and boundary conditions are not always implemented.

3.4. Inadequate Mathematical Formulation for the Reproduction of the Physical Phenomenon

By the end of the 1970s, models based on the Saint-Venant equations were frequently used in practical applications; however, in shallow water conditions and for some types of waves, models based on a non-dispersive theory, of which the Saint-Venant model is an example, are limited and are not usually able to compute satisfactory results over long periods of analysis (see Antunes do Carmo (2016) for a demonstration).

Nowadays, it is generally accepted that for practical applications, the combined gravity wave effects in shallow water conditions must be considered. In addition, the refraction and diffraction processes, the swelling, reflection and breaking waves, all have to be considered.

As Antunes do Carmo (2016) also states, several factors have made it possible to employ increasingly complex mathematical models. Not only has our theoretical knowledge of the phenomena involved improved greatly, but numerical methods have also been used more efficiently. The great advances made in computer technology, especially since the 1980s, improving information processing and enabling large amounts of data to be stored, have made it possible to use mathematical models of greater complexity and with fewer restrictions.

As the following example shows, however advanced the mathematical models may be, it is advisable to always perform a prior analysis of the physical phenomena that are intended to be reproduced. To simulate a dam-break, the following question arises: what is the most suitable mathematical model for this purpose?

To reproduce this phenomenon, two numerical models were tested: The Saint-Venant model (Equations (2) and (3) above) and the standard Boussinesq model shown below (Equations (4) and (5)).

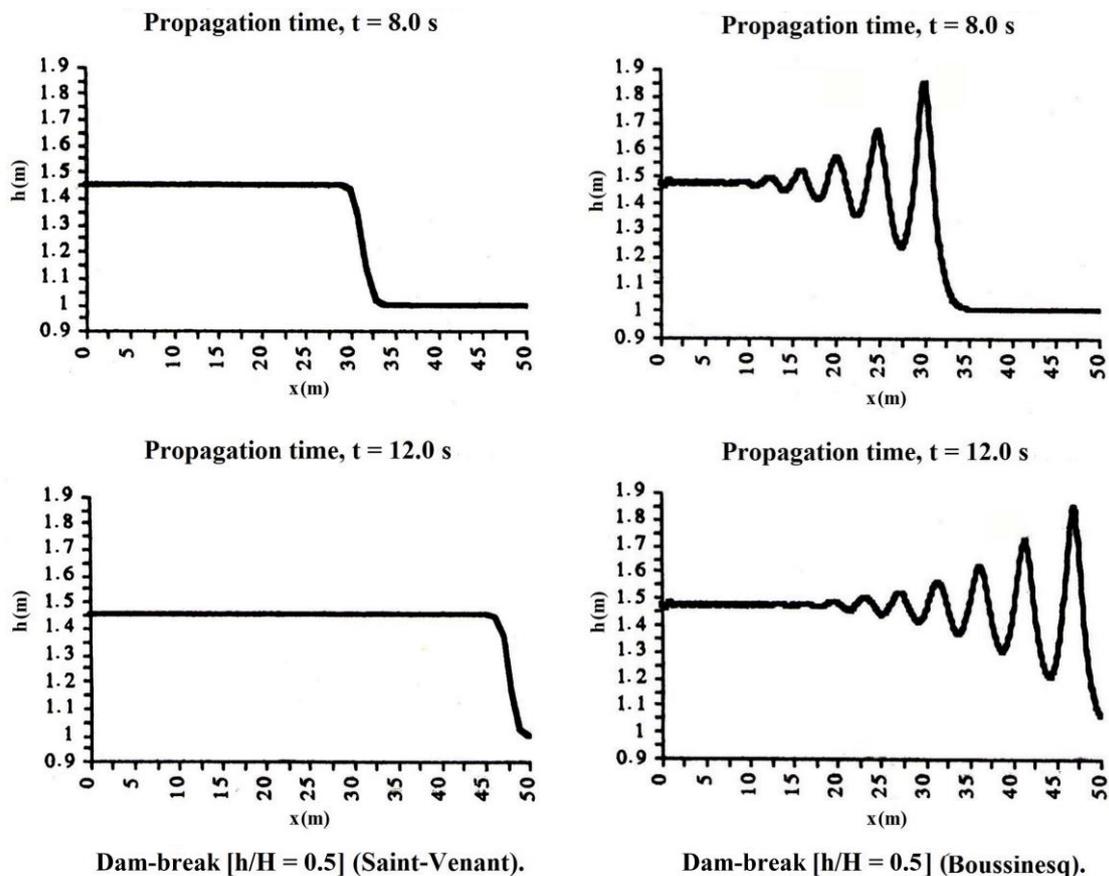
$$h_t + (uh)_x = 0 \quad (4)$$

$$u_t + uu_x + g(h + \xi)_x - \frac{1}{2}h [(hu_t)_x]_x + \frac{1}{6}h^2 [(u_t)_x]_x + \tau_b/(\rho h) = 0 \quad (5)$$

where u is depth-averaged velocity, ρ is fluid density and τ_b represents friction stresses at bottom.

As in the Saint-Venant model (momentum Equation (3)), the Manning–Strickler approach was considered to compute the friction term (last term of Equation (5)). Figure 9 shows the same example of a dam break simulated by two different mathematical models (Saint-Venant and Boussinesq standard), under ideal conditions ($\tau_b = 0$).

Figure 9. Dam-break simulated by two different mathematical models: Saint-Venant on left and Boussinesq standard on right.



Source: Author.

The results shown in Figure 9 are located in the transition zone 0.40–0.55 of the ratio h/H , where h and H are initial depths downstream and upstream of the dam, respectively, as defined by Castro-Orgaz and Hubert Chanson (2020). In the transition zone, the undular surge front may begin to break, as predicted by the Saint-Venant equations, and it always breaks for the ratio $h/H < 0.40$, or the surge travelling downstream may be undular, as predicted by Boussinesq, as for $h/H > 0.55$.

The different behaviours depend on various factors, such as friction, slope, dam-break type and dam-break time. This means that neither the Saint-Venant nor the Boussinesq equations can be used (as they are) to predict dam-break waves for an arbitrary value of the ratio $r = h/H$. A partial breaking for $r = 0.515$ is reported in Antunes do Carmo et al. (2019).

A comparison of the standard Serre model with a set of extended Serre equations was developed in Antunes do Carmo (2013a). As the classical Serre equations are only valid for shallow waters, we extended this model, with improved dispersive characteristics, for applications in intermediate water depths and *quasi*-deep waters (Antunes do Carmo, 2013a, 2013b).

A comparison of experimental results with both the standard and improved/extended Serre models is detailed in Antunes do Carmo (2013b). Laboratory results were obtained in a flume 0.80 m wide with a submerged trapezoidal bar with slopes 1:10 (upstream) and 1:20 (downstream). Before and after the bar, the water depth was 0.40 m, with a reduction to 0.10 m above the bar.

In Antunes do Carmo (2013b) and Antunes do Carmo (2016), experimental and numerical results show that as the wave shoals up the front face and over the bar, in very shallow water conditions, it steepens dramatically, accumulating higher harmonics which are released on the downslope, producing an irregular pattern behind the bar. It is precisely these higher harmonics released behind the submerged structure that are not well simulated by the standard Serre equations. A complete set of Serre-type equations is written (Antunes do Carmo, 2013a):

$$h_t + (uh)_x = 0 \tag{6}$$

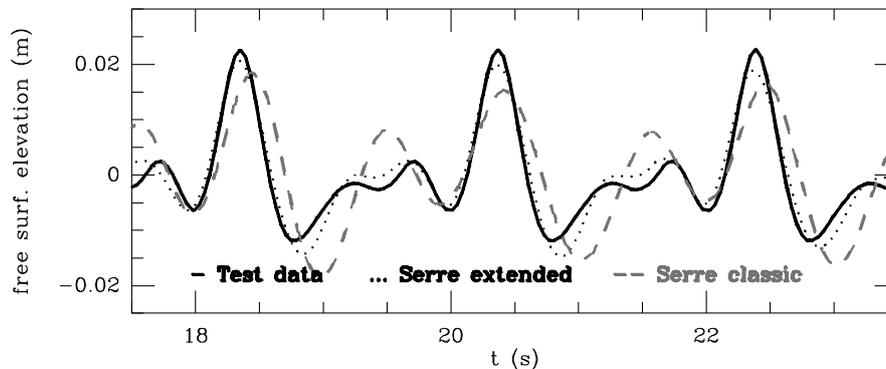
$$\begin{aligned}
 &u_t + uu_x + g(h + \xi)_x + (1 + \alpha)(\Omega u_t - h h_x u_{xt}) - (1 + \beta) \frac{h^2}{3} u_{xxt} + \alpha g \Omega (h + \xi)_x \\
 &\quad - \alpha g h h_x (h + \xi)_{xx} - \beta g \frac{h^2}{3} (h + \xi)_{xxx} - h h_x u u_{xx} + \frac{h^2}{3} (u_x u_{xx} - u u_{xxx}) \\
 &\quad + h (u_x)^2 (h + \xi)_x + \xi_{xx} u^2 (h + \xi)_x + (\Omega + h \xi_{xx}) u u_x + \frac{h}{2} \xi_{xxx} u^2 \\
 &\quad + \tau_b / (\rho h) = 0
 \end{aligned} \tag{7}$$

where $\Omega(x) = h_x \xi_x + 0.5 h \xi_{xx} + (\xi_x)^2$.

When $\alpha = \beta = 0$, Serre standard equations are recovered, and with $\alpha = 0.1308$ and $\beta = 0.20$, a set of extended Serre equations with improved dispersive characteristics is obtained.

Figure 10 shows a comparison of the numerical results of the classical Serre model with the new set of extended Serre equations (Antunes do Carmo (2013b) and Antunes do Carmo (2016)). Globally, the numerical results of the improved Serre model agree quite well with the measured data.

Figure 10. Comparison of experimental data with numerical results from Serre standard and Serre extended/improved.



Source: Author.

Figure 10 shows very significant differences between numerical results from a standard mathematical model (dashed line) and from the same extended model with additional terms (continuous line). The results of the standard model deviate considerably from the experimental data (dotted).

The influence of additional terms of dispersive origin included in the extended Serre equations is clearly shown in this figure. The classical Serre model results (dashed line) are clearly of lesser quality (see also Antunes do Carmo et al., 2019). This example highlights,

once again, the need to make a prior analysis of the physical phenomena that are intended to be reproduced before using a numerical model.

3.5. Inefficient Numerical Formulation, or its Implementation, to Solve the Mathematical Model

The use of a given numerical method depends on the type of equations to be solved and on how the numerical method was implemented. In a scientific article, it is insufficient to simply state that “Method X was used”. Variations in the implementation of “Method X” affect performance measures by factors of 2, 10 or more.

In physics, there are three types of partial differential equations: elliptic equations, such as Laplace and Poisson, which represent or describe the potential of force fields in a variety of physical contexts; parabolic equations, which model diffusion or heat conduction problems; hyperbolic equations, which model wave motion.

To solve elliptic equations, finite-difference methods are the simplest and most intuitive to implement; however, they are not the most efficient. The accuracy of Galerkin and least-squares methods is usually better, although the extra cost can negate this advantage for most problems. A current method of approximate solution of boundary value problems for elliptic partial differential equations is the method of boundary elements.

For parabolic equations, a variety of methods are commonly used. Among them are: Finite difference schemes, Lax–Friedrichs and Lax–Wendroff, which can be viewed as modifications of forward-time central-space and a variety of Crank–Nicolson methods. Upwind schemes are also commonly used.

For hyperbolic equations, many methods are also used, including: Finite difference schemes, first order and higher order of finite volume schemes; however, the finite element method is more common today and mathematically more efficient than the previous methods.

In any of the methods or schemes, the analysis/proof of the concept of consistency is essential, which, together with stability, must imply the convergence of the numerical solution to the true solution.

4. Discussion

Analyses of river hydraulics, coastal hydraulics and water quality often require applications of heuristics and empirical experience. Usually, these analyses are performed

through some simplification and modelling techniques, according to the experience of experts; however, the accuracy of the predictions depends largely on the numerical schemes, boundary conditions and model parameters. Adopting a numerical model suitable for a practical problem in a river or a coastal area is a complicated task that is not always successful. These predictive tools inevitably involve certain assumptions and/or limitations and should be applied only by experienced engineers who have a sufficiently comprehensive understanding of the problem.

The numerical simulation of the Aguieira dam-break (Figures 7 and 8) showed significant differences in the maximum wave heights and in the arrival times of the wavefront about 20 km downstream. These differences were due exclusively to the friction term in the equations, which depends on a parameter that is difficult to quantify, even for experts.

From the engineering point of view, the recommended methodology is to simulate with the maximum values (or an average of the maximum values) of friction (minimum K coefficient) to obtain the maximum wave heights along the valley and a second simulation with the minimum values (or an average of the minimum values) of the friction to obtain the arrival times of the wavefront. In this way, the estimates are guaranteed with security, which is essential for both people and goods.

The physical simulation of a dam-break in a rectangular channel (Figure 9), under ideal conditions, shows that the wave patterns depend on the downstream to upstream water depth ratio. The numerical simulation shows that we need to use different mathematical models to describe the wave patterns generated as a function of the ratio values. The mathematical formulation to be used in the numerical simulation of a dam-break is thus a consequence of the learning acquired through physical modelling.

The case of waves that propagate under certain conditions, such as those shown in Figure 10, proves the need for a careful assessment of the capabilities of a mathematical/numerical model to simulate the processes and phenomena involved with sufficient accuracy.

In terms of recommendation, decision-making should not be based solely on the results of numerical modelling, unless decision-makers are convinced that the model is reliable for the problem at hand. Otherwise, a combination of four different factors must be used:

- Numerical simulation.
- Inspection and measurements.

- Scale modelling.
- Expertise.

5. Conclusions

To design a hydraulic system of significant dimensions, impact and costs, one or more of the following approaches are generally used:

- Results of previous experimental studies and data measured in similar systems.
- Physical modelling, using spatial scales sometimes too small and often distorted.
- Computational models that solve increasingly complex mathematical formulations.

Despite the significant improvement of computational models in recent decades, and subsequently, the improvement and widespread adoption of numerical methods, for many real-world problems, there are no mathematical and numerical solutions powerful enough to dispense the first two approaches. Likewise, physical modelling alone does not solve many real-world problems either. As experience has shown, instead of alternatives, physical and numerical models should be seen as complementary approaches.

The need to maintain a strong investment in physical modelling is highlighted, together with the growth of numerical modelling and computational means, both to support the technical and scientific communities and to design and carry out projects that have significant impacts and costs.

As a final note, some critical points are highlighted:

- Physical modelling is essential to describe and understand phenomena that are currently not possible to analyse in other ways.
- Numerical models should never be used without prior knowledge of their capabilities and limitations.
- The use of physical modelling in laboratory and field data are the best ways to calibrate and validate numerical models.
- Model results (physical and numerical) should never be used blindly; on the contrary, they must always be the object of analysis and critical consideration.

- Comparisons of the results obtained by both solutions (numerical and physical), to which the analytical solutions should be added when available, are strongly recommended.

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